San Luis Obispo PD CA Policy Manual

Regional SWAT Team

404.1 PURPOSE AND SCOPE

The Name of your jurisdiction: Regional SWAT Team is comprised of two specialized teams: the Crisis Negotiation Team (CNT) and the Special Weapons and Tactics Team (SWAT). The unit is comprised of members from the following Police Agencies: Name of your jurisdiction: Police, Paso Robles Police, Arroyo Grande Police, Pismo Beach Police, Grover Beach Police, Morro Bay Police and Cal Poly Police. The unit has been established to provide specialized support in handling critical field operations where intense negotiations and/or special tactical deployment methods beyond the capacity of field officers appear to be necessary. This policy is written to comply with the guidelines established in the Attorney General's Commission on Special Weapons and Tactics Report (September 2002) and the POST 2005 SWAT Operational Guidelines and Standardized Training Recommendations (Penal Code § 13514.1).

404.1.1 OPERATIONAL AND ADMINISTRATIVE POLICY

The Regional S.W.A.T. Operational Manual was adopted by the Chief's of Police for all the participating agencies in July of 2009. The Operations Manual establishes and maintains a level of understanding between all participating agencies about the rules, regulations, and expectations that apply to all regional S.W.A.T. team members. The Operations Manual outlines policy and procedure in the following areas:

- 1. Administration and Personnel
- 2. Operations
- 3. Reporting Requirements
- 4. Performance Standards
- 5. Equipment

Since situations that necessitate the need for such a police response vary greatly from incident to incident and such events often demand on-the-scene evaluation, the Operational Policy outlined in the manual serves as a guideline to department personnel allowing for appropriate on scene decision making as required. The administrative procedures, however, are more restrictive and few exceptions should be taken.

404.1.2 SWAT TEAM DEFINED

A SWAT team is a designated unit of law enforcement officers that is specifically trained and equipped to work as a coordinated team to resolve critical incidents that are so hazardous, complex, or unusual that they may exceed the capabilities of first responders or investigative units including, but not limited to, hostage taking, barricaded suspects, snipers, terrorist acts and other high-risk incidents. As a matter of department policy, such a unit may also be used to serve high-risk warrants, both search and arrest, where public and officer safety issues warrant the use of such a unit.

San Luis Obispo PD CA Policy Manual

Regional SWAT Team

404.3 POLICY

It shall be the policy of this Department to participate in the Name of your jurisdiction: Regional SWAT team in compliance with the Regional SWAT Agreement and operational guidelines.

404.3.1 POLICY CONSIDERATIONS

A needs assessment should be conducted to determine the type and extent of SWAT missions and operations appropriate to this department. The assessment should consider the team's capabilities and limitations and should be reviewed annually by the SWAT Commander or his/her designee.

404.4 TRAINING NEEDS ASSESSMENT

The SWAT/CRU Commander shall conduct an annual SWAT Training needs assessment to ensure that training is conducted within team capabilities, department policy and the training guidelines as established by POST (11 C.C.R. § 1084).

404.4.1 INITIAL TRAINING

SWAT team operators and SWAT supervisors/team leaders should not be deployed until successful completion of the POST-certified Basic SWAT Course or its equivalent.

(a) To avoid unnecessary or redundant training, previous training completed by members may be considered equivalent when the hours and content (topics) meet or exceed department requirements or POST standardized training recommendations.

404.4.2 UPDATED TRAINING

Appropriate team training for the specialized SWAT functions and other supporting resources should be completed prior to full deployment of the team.

SWAT team operators and SWAT supervisors/team leaders should complete update or refresher training as certified by POST, or its equivalent, every 24 months.

404.4.3 SUPERVISION AND MANAGEMENT TRAINING

Command and executive personnel are encouraged to attend training for managing the SWAT function at the organizational level to ensure personnel who provide active oversight at the scene of SWAT operations understand the purpose and capabilities of the teams.

Command personnel who may assume incident command responsibilities should attend SWAT or Critical Incident Commander course or its equivalent. SWAT command personnel should attend a POST-certified SWAT commander or tactical commander course, or its equivalent.

404.4.4 SWAT ONGOING TRAINING

Training shall be coordinated by the CRU Commander. The CRU Commander may conduct monthly training exercises that include a review and critique of personnel and their performance in the exercise in addition to specialized training. Training shall consist of the following:

(a) Each SWAT member shall perform a physical fitness test twice each year. A minimum qualifying score must be attained by each team member.

San Luis Obispo PD CA Policy Manual

Regional SWAT Team

- (b) Any SWAT team member failing to attain the minimum physical fitness qualification score will be notified of the requirement to retest and attain a qualifying score. Within 30 days of the previous physical fitness test date, the member required to qualify shall report to a team supervisor and complete the entire physical fitness test. Failure to qualify after a second attempt may result in dismissal from the team.
- (c) Those members who are on vacation, ill, or are on light duty status with a doctor's note of approval on the test date, shall be responsible for reporting to a team supervisor and taking the test within 30 days of their return to regular duty. Any member, who fails to arrange for and perform the physical fitness test within the 30-day period, shall be considered as having failed to attain a qualifying score for that test period.
- (d) Quarterly, each SWAT team member shall perform the mandatory SWAT handgun qualification course. The qualification course shall consist of the SWAT Basic Drill for the handgun. Failure to qualify will require that officer to seek remedial training from a team range master approved by the CRU Commander. Team members who fail to qualify must retest within 30 days. Failure to qualify within 30 days with or without remedial training may result in dismissal from the team.
- (e) Each SWAT team member shall complete the quarterly SWAT qualification course for any specialty weapon issued to, or used by, the team member during SWAT operations. Failure to qualify will require the team member to seek remedial training from the Rangemaster who has been approved by the CRU commander. Team members who fail to qualify on their specialty weapon may not utilize the specialty weapon on SWAT operations until qualified. Team members who fail to qualify must retest within 30 days. Failure to qualify with specialty weapons within 30 days may result in the team member being removed from the team or permanently disqualified from use of that particular specialty weapon.

404.4.5 TRAINING SAFETY

Use of a designated safety officer should be considered for all tactical training.

404.4.6 SCENARIO BASED TRAINING

SWAT teams should participate in scenario-based training that simulates the tactical operational environment. Such training is an established method of improving performance during an actual deployment.

404.4.7 TRAINING DOCUMENTATION

Individual and team training shall be documented and records maintained by the Training Bureau. Such documentation shall be maintained in each member's individual training file. A separate agency SWAT training file shall be maintained with documentation and records of all team training.

404.5 UNIFORMS, EQUIPMENT, AND FIREARMS

San Luis Obispo PD CA Policy Manual

Regional SWAT Team

404.5.1 UNIFORMS

SWAT teams from this agency should wear uniforms that clearly identify team members as law enforcement officers. It is recognized that certain tactical conditions may require covert movement. Attire may be selected appropriate to the specific mission.

404.5.2 EQUIPMENT

SWAT teams from this agency should be adequately equipped to meet the specific mission(s) identified by the agency.

404.5.3 FIREARMS

Weapons and equipment used by SWAT, the specialized units, and the supporting resources should be agency-issued or approved, including any modifications, additions, or attachments.

404.5.4 OPERATIONAL READINESS INSPECTIONS

The CRU Commander shall appoint a CRU supervisor to perform operational readiness inspections of all unit equipment at least quarterly. The result of the inspection will be forwarded to the CRU Commander in writing. The inspection will include personal equipment issued to members of the unit, operational equipment maintained in the CRU facility and equipment maintained or used in CRU vehicles.

404.6 MANAGEMENT/SUPERVISION OF THE SAN LUIS OBISPO REGIONAL SWAT TEAM

The Commander of the Regional SWAT Team shall be selected by the team's Board of Directors upon recommendation of staff. Team Leaders and Assistant Team Leaders shall be selected by the SWAT Commander, Tactical Commander and Assistant Tactical Commanders.

404.6.2 TEAM SUPERVISORS

Team supervisors will generally be at the rank of sergeant.

Supervisory responsibilities include:

- (a) Supervising the operations of the Crisis Negotiation Team, including deployment, training, operational actions, and other duties as directed by the SWAT Commander.
- (b) Supervising the operations of the SWAT Team, including deployment, training, operational actions, and other duties as directed by the SWAT Commander.

404.7 CRISIS NEGOTIATION TEAM ADMINISTRATIVE PROCEDURES

The Crisis Negotiation Team has been established to provide skilled verbal communicators who may be utilized to attempt to de-escalate and effect surrender in critical situations where suspects have taken hostages, barricaded themselves, or have suicidal tendencies.

The following procedures serve as directives for the administrative operation of the Crisis Negotiation Team.

San Luis Obispo PD CA Policy Manual

Regional SWAT Team

404.7.1 SELECTION OF PERSONNEL

Interested sworn personnel, who are off probation, shall submit a memorandum of interest to the SWAT Commander and Crisis Negotiation Team Supervisor. Qualified applicants will then be invited to an oral interview. The interview will consist of the SWAT Commander, the Crisis Negotiation Team supervisor, and a third person to be selected by the two. Interested personnel shall be evaluated by the following criteria:

- (a) Recognized competence and ability as evidenced by performance.
- (b) Demonstrated good judgment and understanding of critical role of negotiator and negotiation process.
- (c) Effective communication skills to ensure success as a negotiator.
- (d) Special skills, training, or appropriate education as it pertains to the assignment.
- (e) Commitment to the unit, realizing that the assignment may necessitate unusual working hours, conditions, and training obligations.

The oral board shall submit a list of successful applicants to staff for final selection.

404.7.2 TRAINING OF NEGOTIATORS

Those officers selected as members of the Negotiation Team should attend the Basic Negotiators Course as approved by the Commission on Peace Officer Standards and Training (POST) prior to primary use in an actual crisis situation. Untrained officers may be used in a support or training capacity. Additional training will be coordinated by the team supervisor.

A minimum of one training day per quarter will be required to provide the opportunity for role playing and situational training necessary to maintain proper skills. This will be coordinated by the team supervisor.

Continual evaluation of a team member's performance and efficiency as it relates to the positive operation of the unit shall be conducted by the team supervisor. Performance and efficiency levels, established by the team supervisor, will be met and maintained by all team members. Any member of the Negotiation Team who performs or functions at a level less than satisfactory shall be subject to dismissal from the unit.

404.8 SWAT TEAM ADMINISTRATIVE PROCEDURES

The following procedures pertain to the administrative operation of the Special Weapons and Tactics Team.

404.8.1 SELECTION OF PERSONNEL

Selection of SLO PD SWAT Team members shall be made according to the policy for Special Assignment Selection. The testing process will consist of an oral board, physical agility test and SWAT basic handgun and rifle qualification. The oral board will consist of personnel selected by the SWAT Commander. Applicants will be evaluated based on the following criteria:

(a) Recognized competence and ability as evidenced by performance;

San Luis Obispo PD CA Policy Manual

Regional SWAT Team

- (b) Demonstrated good judgment and understanding of critical role of SWAT member;
- (c) Special skills, training, or appropriate education as it pertains to this assignment; and,
- (d) Commitment to the unit, realizing that the additional assignment may necessitate unusual working hours, conditions, and training obligations.
- (e) Physical agility: The physical agility test is designed to determine the physical capabilities of the applicant as it relates to performance of SWAT-related duties. The test and scoring procedure will be established by the SWAT Commander. A minimum qualifying score shall be attained by the applicant to be considered for the position.
- (f) SWAT basic handgun: Candidates will be invited to shoot the SWAT Basic Drill for the handgun. A passing score must be attained to qualify.

A list of successful applicants shall be submitted to staff, by the SWAT Commander, for final selection.

404.8.2 TEAM EVALUATION

Continual evaluation of a team member's performance and efficiency as it relates to the positive operation of the unit shall be conducted by the Team Supervisors. The performance and efficiency level, as established by the team supervisor, will be met and maintained by all SWAT Team members. Any member of the SWAT Team who performs or functions at a level less than satisfactory shall be subject to dismissal from the team.

404.9 OPERATION GUIDELINES FOR REGIONAL SWAT

The following procedures serve as guidelines for the operational deployment of the Regional SWAT Team. Generally, the Special Weapons and Tactics Team and the Crisis Negotiation Team will be activated together. It is recognized, however, that a tactical team may be used in a situation not requiring the physical presence of the Crisis Negotiation Team such as warrant service operations. This shall be at the discretion of the SWAT Commander.

404.9.1 ON-SCENE DETERMINATION

The supervisor in charge on the scene of a particular event will assess whether the Regional SWAT Team is to respond to the scene. Upon final determination by the Watch Commander, he/she will request the activation of SWAT through the SWAT Commander or Tactical Commander.

404.9.2 APPROPRIATE SITUATIONS FOR USE OF THE REGIONAL SWAT TEAM The following are examples of incidents which may result in the activation of the Regional SWAT Team:

- (a) Barricaded suspects who refuse an order to surrender.
- (b) Incidents where hostages are taken.
- (c) Arrests of dangerous persons.

San Luis Obispo PD CA Policy Manual

Regional SWAT Team

(d) Any situation that could enhance the ability to preserve life, maintain social order, and ensure the protection of property.

404.9.3 OUTSIDE AGENCY REQUESTS

Requests by field personnel for assistance from outside agency Special Weapons Teams must be approved by the Watch Commander. Deployment of the San Luis Obispo County Regional SWAT Team in response to requests by other agencies must be authorized by a SWAT Team Commander.

404.9.4 MULTI-JURISDICTIONAL SWAT OPERATIONS

The SWAT team, including relevant specialized units and supporting resources, should develop protocols, agreements, MOU's, or working relationships to support multi-jurisdictional or regional responses.

- (a) If it is anticipated that multi-jurisdictional SWAT operations will regularly be conducted; SWAT multi-agency and multi-disciplinary joint training exercises are encouraged.
- (b) Members of the San Luis Obispo Police Department SWAT team shall operate under the policies, procedures and command of the San Luis Obispo Police Department when working in a multi-agency situation.

404.9.5 MOBILIZATION OF REGIONAL SWAT TEAM

The On-Scene supervisor shall make a request to the Watch Commander for the Regional SWAT Team. The Watch Commander shall request the Regional SWAT Team through the SWAT Commander. If unavailable, the Tactical Commander shall be notified. A current mobilization list shall be maintained in the Watch Commander's office by the SWAT Commander.

The Watch Commander should advise the SWAT Commander with as much of the following information which is available at the time:

(a)	
(b)	
(c)	
(d)	
(e)	
(f)	
(g)	
(h)	

San Luis Obispo PD CA Policy Manual

Regional SWAT Team

404.9.6 FIELD UNIT RESPONSIBILITIES

While waiting for the Crisis Response Unit, field personnel should, if safe, practical and sufficient resources exist:



404.9.7 ON-SCENE COMMAND RESPONSIBILITIES

Upon arrival of the Regional SWAT Team at the scene, the Incident Commander shall brief the SWAT Commander and team supervisors about the situation. Upon review, it will be the Incident Commander's decision, with input from the SWAT Commander, whether to deploy the Regional SWAT Team. Once the Incident Commander authorizes deployment, the SWAT Commander will be responsible for the tactical portion of the operation. The Incident Commander shall continue supervision of the command post operation, outer perimeter security, and support for the Regional SWAT Team. The Incident Commander and the SWAT Commander (or his or her designee) shall maintain communications at all times.

404.9.8 COMMUNICATION WITH TEAM PERSONNEL

Persons who are not members of the Regional SWAT or Crisis Negotiation Teams should refrain from any non-emergency contact or interference with any member of the Team during active deployment or negotiations. Operations require the utmost in concentration by involved personnel and, as a result, no one should interrupt or communicate with SWAT or Negotiating Team personnel directly unless requested or necessary for the operation. All non-emergency communications shall be channeled through the managers on scene.